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Title: GRADATION OF STIFFNESS OF THE MUCOSA INFERIOR TO THE VOCAL FOLD

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Abstract: Objective: During phonation, energy is transferred from the subglottal airflow through the air/mucosa interface which results in the propagation of the mucosal wave in the vocal fold. The vocal fold is soft, and the subglottal mucosa is stiff. We hypothesize that it is highly improbable that there is a rigid boundary between the tissue structures, with a sudden drop in stiffness; and that a gradual change would be more likely to support the efficient transfer of energy from the air-flow to the mucosal wave. Our objective is to test this hypothesis by quantifying the change in mucosa stiffness with respect to anatomical position.

Study Design: In this initial study, using 5 pig larynges, a series of point specific measurements of mucosa stiffness were taken in a line from the midpoint of the vocal fold towards the trachea.

Methods: A modified linear skin rheometer (LSR) adapted for laryngeal elasticity measurement applied shear stress to a series of positions at 2mm intervals starting from the mid-membranous vocal fold medial surface. A sinusoidal shear force of 1g was applied at each point, and resultant displacement curve logged. Using a regression algorithm the stiffness of the tissue was derived in units of grams force per mm displacement. 5 readings were taken at each position.

Results: The results indicate that there is a linear increase in stiffness with respect to position, increasing as the measurements are taken further from the vocal fold.

Conclusion: There is a gradual change in stiffness of the subglottal mucosa.

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GRADATION OF STIFFNESS OF THE MUCOSA INFERIOR TO THE VOCAL FOLD

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ABSTRACT

Objective: During phonation, energy is transferred from the subglottal airflow through the air/mucosa interface which results in the propagation of the mucosal wave in the vocal fold. The vocal fold is soft, and the subglottal mucosa is stiff. We hypothesize that it is highly improbable that there is a rigid boundary between the tissue structures, with a sudden drop in stiffness; and that a gradual change would be more likely to support the efficient transfer of energy from the air-flow to the mucosal wave. Our objective is to test this hypothesis by quantifying the change in mucosa stiffness with respect to anatomical position.

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INTRODUCTION

Energy derived from the subglottal air flow is required to power the oscillation of the vocal folds during phonation. To achieve this, sub-glottic pressure transfers to the vocal fold soft tissue, in a process analogous to the generation of static waves in water due to wind. It is our hypothesis that there is a gradual change in tissue stiffness from the stiff mucosa inferior to the vocal fold to the substantially less stiff vocal fold; and that this gradation may support a more efficient transfer of energy across the air/tissue boundary.

Using the wave analogy, static waves are more likely to flow up onto a beach when the depth change is smooth, whereas a sudden change in depth is more likely to result in energy reflections causing the static waves to break up.

The physiology of phonation is best explained by Hirano's cover-body theorem [Hirano 1985]. Hirano describes a process reliant on air-flow causing a drop in air pressure due to Bernoulli's theorem. The soft vocal fold cover is pulled up, leading to closure that cuts off the airflow, enabling the tension in the underlying body to pull the cover back down. This process has been superbly modelled mathematically by Ingo Titze [Titze 1994], and forms the basis of our understanding of the dynamics of voice production today. What remains unknown is whether or not the sub-glottal mucosa also plays a role in this process, and there is recent evidence that this may be the case from a study by Smith, Roy, Stoddard & Barton [Smith 2007] which examined the change in pitch in 14 female patients (mean age 53) following a cricotracheal resection. In all cases the mean fundamental frequency fell significantly, typically by 21Hz. Other evidence that the sub-glottal mucosa could be important for the dynamics of current myoelastic thinking is a study by Sundberg, Iwarsson & Billström [Sundberg, 1995], which determined that voice quality deteriorated after the anaesthetisation of the subglottal mucosa.

Visualisation of the subglottal region, and direct measurements of air pressure, during phonation are

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difficult and highly invasive. This may explain why this region, and its' role in phonation, has been rarely studied. The subglottal mucosa will be subject to compression during closure, and will also be uplifted by the same aero-dynamic principles that apply to the vocal fold cover. Simply because it is almost impossible to visualise this region during phonation does not mean that it does not play a role in the overall process. As we are currently unable to visualise this region using stroboscopy or imaging without an invasive procedure, we must rely on inferring the biomechanical behaviour using other techniques. Using excised larynges it is possible to measure the elastic properties of the subglottal mucosa, but standard material methods such as a Bohlin parallel plate rheometer may not necessarily be able to determine differences in tissue elasticity with respect to anatomical position, and it is the variation of subglottal mucosa stiffness that is of importance for this study. Therefore we chose to use a Linear Skin Rheometer (LSR) which is able to take point specific measurements with respect to anatomical position, enabling us to determine the gradation in subglottal stiffness mucosa

In this initial study a series of point specific measurement of mucosa stiffness were taken using 5 excised pig larynges. Values were taken at 2mm intervals starting at the midpoint of the vocal fold in the mid-musculo-membranous portion inferior of the vocal fold. The results show that there is a linear relationship between distance from the vocal fold and tension. This supports our hypothesis that the energy transfer between the subglottal air flow through the tissue membrane to form the mucosal wave will be more efficient with a smooth transition. This observed phenomena will be examined in more detail in future studies.

MATERIALS AND METHODS

5 freshly excised pig larynges were divided in the mid-sagittal plane to reveal the vocal folds. The pigs had been previously sacrificed for another study not involving the larynx. The larynges had been frozen to -80 degrees C and were allowed to slowly thaw back to room temperature. The study was carried at room temperature, and the surface of the larynges were kept moist using saline solution. They were mounted to ensure that there was no source of external tension. A Linear Skin Rheometer (LSR) was used to take a series of readings inferior to the vocal fold at 2mm intervals [Hess 2006, Goodyer 2007, Dailey 2007]. 5 readings were taken at each point, and the results averaged to obtain a mean value. The probe was attached using suction, with a 2mm ID cannula and a vacuum pressure of approximately 50mbar. A cyclical shear force of +/- 1g was applied in a transverse direction, being the same direction as the subglottal air flow. The displacement was logged, and the Dynamic Spring Rate (DSR) derived. The DSR is a measure of shear stiffness expressed as grams force required to achieve a displacement of 1 mm. For this study our interest is relative change in stiffness, therefore all results were normalised, with the stiffness at the mid-membranous point of the vocal fold defined as 1.

RESULTS

Usable data was obtained from 9 hemi larynges, and are given in table 1, the 10th hemi larynx was damaged during preparation. The results are expressed as normalised stiffness with respect to the reading obtained from the midpoint of the vocal fold. All data was obtained in a transverse direction. The results are also presented graphically in graph 1, and are averaged to produce the line shown in graph 2. The correlation coefficients (CC) obtained when comparing the left hand side data to the right hand side for the 4 larynges for which usable data was obtained from both sides are respectively – larynx 2 0.95, larynx 3 0.93, larynx 4 0.91 and larynx 5 0.89. Where a CC of 1 is a perfect match.

If we define the stiffness at the vocal fold as 1, the mean stiffness increases linearly with distance from the vocal fold such that it doubles to 2 after approximately 12mm.

DISCUSSION

The data clearly shows that there is a relationship between mucosa stiffness and distance from the vocal fold, thus supporting our original hypothesis. The CC results give us a high degree of confidence in the data. The averaged data in graph 2 implies that this relationship is linear, but this cannot be determined from such a small sample as is demonstrated by the spread of slopes observed in graph 1.

According to Wyke and Kirchner mechanoreceptors in the subglottal mucosa play a significant role in the control of laryngeal muscle activity in response to changes of subglottal pressure during phonation. Sundberg, Iwarsson & Billström [Sundberg, 1995], investigated this finding with a study that assessed voice quality after the anaesthetisation of the subglottal mucosa. Sundberg's study confirmed that voice quality deteriorated when this region is anaesthetised, thus impairing the operation of the mechanoreceptors.

The implication of this study is that scarring of the mucosa inferior to vocal fold could result in poor energy transfer into the vocal fold, resulting in a weaker mucosal wave. This would explain why the mechanoreceptors described by Wyke are important, in that they are used to sense the transfer of energy from the subglottal airflow into the vocal fold in advance of the mucosal wave. Further evidence to support this hypothesis can be found in the recent study by Smith, Roy, Stoddard & Barton [Smith 2007] which examined the change in pitch in 14 female patients (mean age 53) following a cricotracheal resection. In all cases the mean fundamental frequency fell significantly, typically by 21Hz. The resection inherently changes the dynamic biomechanical processes of the larynx, but in addition the removal of part of the graded mucosa, and the resultant scar, could both contribute to a lessened ability to transfer energy from the subglottal airflow into the mucosa wave. Less energy will result in a lower frequency.

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Another point of interest is the shape of the subglottal mucosa during the myoeleastic cycle. By applying a simple mathematical model of the extension of the subglottal mucosa when subjected to the uplift due to the air flow we can make a reasonable prediction. The basis of this model is the standard Young's Modulus formulae for extension.

$$1. \quad x/L = F / (E * A)$$

$$2. \quad P = F/A$$

$$3. \quad x = (P * L) / E$$

where

x = extension

L = initial thickness of the mucosa

E = Young's Modulus

F = Force

A = the surface area that the force acts over

P = the uplift pressure

The purpose of this model is to predict the shape of the mucosa due a drop in pressure, therefore we are not attempting to derive any absolute values at this time. In the absence of any aerodynamic models for the uplift pressure in the subglottal region we can only assume that is it constant over the surface. If we define the the stiffness at the vocal fold as 1, and the stiffness 12mm inferior as 2, the change in stiffness and length is given by

$$4. \quad E_y = E * (1 + y/12)$$

$$5. \quad L_y = L + (P * L) / E_y$$

$$6. \quad L_y = L(1 + (P/(E * (1 + y/12))))$$

Where

E_y is the Young's Modulus at a distance y from the vocal fold

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y is the distance from the vocal fold in mm

If we assume an initial thickness of 1mm we can predict the resultant shape under uplift pressure in terms of relative change. This shape is shown in figure 3. Of interest is the curve shape that funnels that compresses that gradually compresses the airflow as it approaches the vocal fold, and will thus be more likely to produce lamina flow of the vocal fold itself.

It would therefore be of interest for a future study to determine if there is a relationship between dysphonia and scarring in this region. It is also necessary to widen the study to use more larynges, and to carry out a similar study with donor human tissue. If it is demonstrated that subglottal scarring impairs energy transfer into the mucosal wave, then this region could benefit from current research examining tissue engineering and augmentation techniques being developed for the vocal fold itself.

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CONCLUSION

There is a linear relationship between mucosa tension and distance from the vocal fold; with stiffness increasing towards the trachea. The purpose of this gradation remains unknown. Our theory that it enhances the probability of lamina flow in this region, and is necessary to ensure an efficient transfer of energy from the air-flow into the vocal fold requires more in depth study.

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FIGURE LEGENDS

Table 1 Normalised shear stiffness with respect to distance from the vocal fold

Figure 1 Variation of mucosa stiffness with respect to distance from the vocal fold

Figure 2 Mucosa stiffness inferior to the vocal fold

Figure 3 Predicted shape of the subglottal mucosa when uplifted

TABLE 1

Distance mm	Hemi Larynx Right 1	Hemi Larynx Left 2	Hemi Larynx Right 2	Hemi Larynx Left 3	Hemi Larynx Right 3	Hemi Larynx Left 4	Hemi Larynx Right 4	Hemi Larynx Left 5	Hemi Larynx Right 5
0	1	1	1	1	1	1	1	1	1
2	1.34	1.19	1.07	1.26	1.18	1.21	1.21	1.1	1.13
4	1.72	1.42	1.28	1.31	1.84	1.33	1.36	1.18	1.09
6	1.6	1.6	1.42	1.46	1.86	1.61	1.46	1.49	1.16
8	2.03	1.78	1.55	1.66	1.96	1.7	1.46	1.59	1.32
10	2.47	2.15	1.52	1.81	2.34	1.44	1.5	1.72	1.68
12	3.1	2.27	1.8	1.82	2.71	1.63	1.62	1.74	1.64

Table 1 Normalised shear stiffness with respect to distance from the vocal fold

FIGURE 1

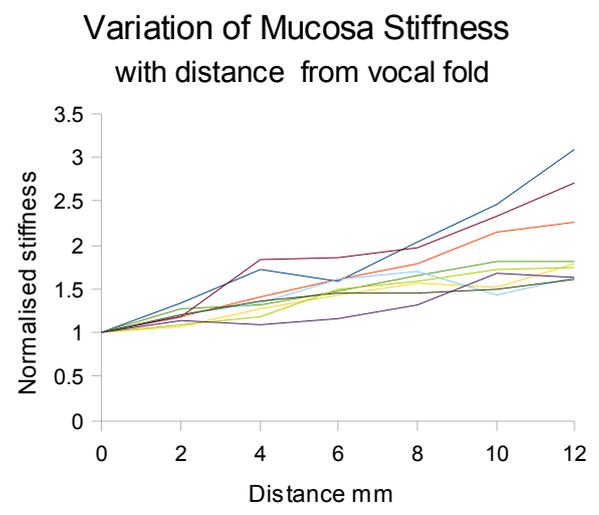


Figure 1 Variation of mucosa stiffness with respect to distance from the vocal fold

FIGURE 2

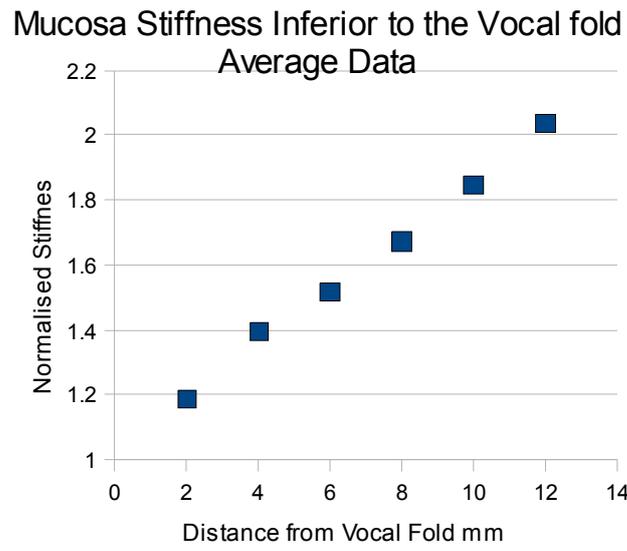


Figure 2 Mucosa stiffness inferior to the vocal fold

FIGURE 3

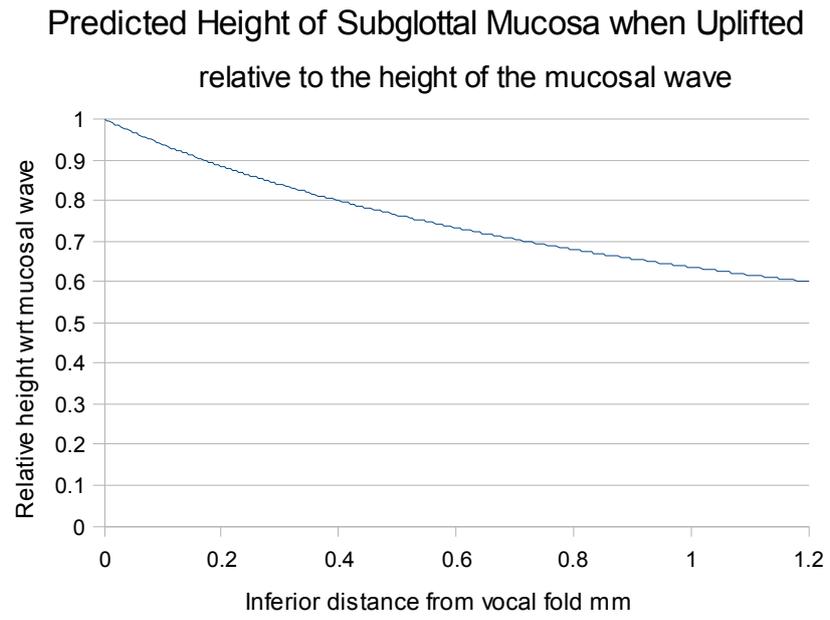


Figure 3 Predicted shape of the subglottal mucosa when uplifted